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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/918,204	07/30/2001	Taejae Lee	STL920000096US1	2143
47069	7590	12/14/2005	EXAMINER	
KONRAD RAYNES & VICTOR, LLP ATTN: IBM54 315 SOUTH BEVERLY DRIVE, SUITE 210 BEVERLY HILLS, CA 90212			MIRZA, ADNAN M	
			ART UNIT	PAPER NUMBER
			2145	

DATE MAILED: 12/14/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/918,204

Applicant(s)

LEE ET AL.

Examiner

Adnan M. Mirza

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 15 September 2005.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-6,8-18,20-30 and 32-36 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-6,8-18,20-30,32-36 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |   |   |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                        | 4) <input type="checkbox"/> Interview Summary (PTO-413)                     |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)    | Paper No(s)/Mail Date. _____  |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date _____   | 6) <input type="checkbox"/> Other: _____                                    |

## **DETAILED ACTION**

### ***Claim Rejections - 35 USC § 103***

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1-6,8-18,20-30,32-36 are rejected under 35 U.S.C. 103(a) as being unpatentable over Graylin et al (U.S. 2003/0033415 and Rajarajan et al (U.S. 2002/0143949).

As per claims 1,13,25 Graylin disclosed a method for enabling access to resource objects in an application engine, comprising: receiving a request, from a calling entity, for workflow resource objects of a specified type in the workflow engine; wherein the specified type of the requested resource objects comprises at least one of the workflow objects, workflow templates and work lists defined in the work flow engine (Graylin, Page. 8, Paragraph 088;0091); generating a request to the workflow engine for information on available workflow resource objects of the specified type (Page. 2, Paragraph 0033);

However Graylin did not disclose in detail in response to receiving the information from the application engine, generating a collection object including one metadata element for each resource object of the specified type in the application engine; and returning the generated collection object to the calling entity.

In the same field of endeavor Rajarajan disclosed next the method receives a request to perform the management task in relation to the first managed object and determines which resource to call in response to the request; and then sends a task request to the determined resource to perform the management task (Page. 2, Paragraph 0011). A request is generated and sent to the identified resource to collect all dynamic task. Dynamic task information relates to functions that may be performed on particular data object, e.g., a particular user, but may not be available for all objects of that type, e.g., all users. Additionally, dynamic task may relate to the particular instance of an object, e.g., a task relating to disabling an account is dynamic since it depends on whether the account has been enabled (Page. 12, Paragraph. 0101).

It would have been obvious to one having ordinary skill in the art at the time of the invention was made to have incorporated next the method receives a request to perform the management task in relation to the first managed object and determines which resource to call in response to the request; and then sends a task request to the determined resource to perform the management task. A request is generated and sent to the identified resource to collect all dynamic task.

Dynamic task information relates to functions that may be performed on particular data object, e.g., a particular user, but may not be available for all objects of that type, e.g., all users.

Additionally, dynamic task may relate to the particular instance of an object, e.g., a task relating to disabling an account is dynamic since it depends on whether the account has been enabled as taught by Rajarajan in the method of Graylin because accessing different resources in this manner is time consuming and requires a mastery of many types of applications and front –ends (Page. 1, Paragraph. 0007).

3. As per claims 2,14,26 Graylin- Rajarajan disclosed wherein the calling entity comprises an application program (Graylin, Page. 7, Paragraph 0078).
4. As per claim 3,15,27 Graylin-Rajarajan disclosed wherein the calling entity issues the request from a client and wherein the request is received on a server including the workflow engine (Graylin, Page. 7, Paragraph. 0078).
5. As per claims 4,16,28 Graylin-Rajarajan disclosed wherein the workflow engine is one of a plurality of service engines enabling access to service resources, wherein the request for the resource objects from the calling entity comprises a method that is a member of a service class implementation of the workflow engine, wherein each service engine provides one service class implementation of methods and objects from a same abstract service class (Rajarajan, Page. 7, Paragraph 0072-0074).
6. As per claims 5,17,29 Graylin-Rajarajan disclosed wherein the workflow engine and other service 2 engines comprise workflow products from different vendors (Rajarajan, Page. 20, Paragraph. 0175).
7. As per claims 6,18,30 Graylin-Rajarajan disclosed wherein the workflow service class implementations from different vendors each include methods and objects from a same abstract

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workflow service class specifying methods and objects to include in all workflow service class implementations (Graylin, Page. 8, Paragraph 0095).

8. As per claims 8,20,32 Graylin-Rajaraman disclosed wherein the collection object is generated using methods from a collection object class, further comprising: receiving a retrieve method in the collection object class from the calling entity requesting the workflow resource object represented by one selected metadata element in the collection object (Rajaraman, Page. 5, Paragraph. 0050); generating an additional request to the application engine for the resource object requested in the retrieve method; receiving the requested resource object from the application engine; and returning the requested workflow resource object to the calling entity invoking the retrieve method (Rajaraman , Page. 7, Paragraph. 0072).

9. As per claims 9,21,33 Graylin-Rajaraman disclosed wherein the calling entity uses methods from the collection object class to access the collection object (Rajaraman, Page. 12, Paragraph. 0098).

10. As per claims 10,22,34 Graylin-Rajaraman disclosed wherein the generated additional request to the workflow engine is in a service class implemented by the workflow engine including methods and objects to access the workflow engine (Graylin, Page. 2, Paragraph 0033).

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11. As per claims 11,23,35 Graylin-Rajarajan disclosed wherein the application engine is one of a plurality of service engines enabling access to service resources, wherein each service engine provides one collection object class implementation of methods from a same abstract collection object class used to instantiate and manipulate a collection object including metadata on workflow resource objects available at: the workflow engine (Page. 6, Paragraph 0061-0062).

12. As per claim 12,24,36 Graylin-Rajarajan disclosed wherein the collection object is generated using methods from a collection object class and wherein the request for the resource object from the calling entity is in a service class implemented by the application engine that includes methods and objects to access the application engine (Page. 5, Paragraph. 0049-0050).

### ***Response to Arguments***

During the telephone interview the Examiner recommended certain suggestion to the applicant regarding 101 rejection and towards the claim language of the independent claims. The Applicant's amendments overcome the 101 rejection, however the claim language of independent claims doesn't overcome the art rejection.

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Response to applicant's arguments is as follows:

13. Applicant argued that prior art did not disclose, "a workflow engine and wherein the specified type of the requested resource objects comprises one of workflow objects, workflow templates and work lists defined in the application engine".

As to applicant's argument, Graylin disclosed, "the user preferences elaborating system of the invention can be implemented as one or more server objects which can be accessed by client objects seeking user preference information by the invocation of one or more user preference manager methods (Page. 8, Paragraph. 0091). Each of the of these classes serves as a template which defines data structure for holding the attributes and program instructions which perform the method of an object. Each class also includes a means for instantiating of creating an object from the class template (Page. 8, Paragraph. 0088).

14. Applicant argued that prior art did not disclose, "receiving the information from the application engine, generating a collection object including one metadata element for each resource object of the specified type in the application engine that is returned to a calling entity requesting resource objects of a specified type".

As to applicant's argument Graylin disclosed, "an exemplary entitlement manager system which provides the underlying structure for the user preference elaborating system includes an



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entitlement processor which receives data from and provides data to an accessor storage, an accessor group data and an object registry data storage. As used herein “accessor” are entities which request access to and are generally entitled to some kind of access to, objects or resources in the system. An “accessor group” refers to a named collection or group of accessors. An “object registry” (OR) includes individual resources, each resource uniquely identified and associated with an entitlement expression.” (Page. 2, Paragraph. 0033). One ordinary skill in the art at the time of the invention knows that receiving the data is interpreted as same as receiving information and “accessor group” is interpreted as collection of objects.

15. Applicant argued that prior art did not disclose, “Requirement of multiple service engines, each providing one service class implementation of methods and objects from a same abstract service class”.

As to applicant’s argument Rajarajan disclosed, “the client computer system may communicate with the server computer system via many different protocols over various types of connections. As shown in FIG. 1, the system 102 and 104 may communicate via the internet 106 using Hypertext Transfer Protocol (HTTP), mark-up languages, or some other communication protocol suitable for use with, for example, the internet, in a particular embodiment, client computer system 102 is a Microsoft.NET client, but other, non-Microsoft NET client may be used (Page. 3, Paragraph. 0038).

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16. Applicant argued that prior art did not disclose, “multiple application or service engines comprising workflow products from different vendors”.

As to applicant’s argument Rajarajan disclosed, “ As is shown in Figure. 3, the client environment may include a web browser, such as browser shown in FIG. 1, as well as an applet running on the web browser 311. The applet functions to generate a management console, as described in below, in the web browser that is compatible with the Microsoft “.NET Framework” (Page. 16, Paragraph. 0131).

### ***Conclusion***

17. Any inquiry concerning this communication or earlier communication from the examiner should be directed to Adnan Mirza whose telephone number is (571)-272-3885.

18. The examiner can normally be reached on Monday to Friday during normal business hours. If attempts to reach the examiner by telephone are unsuccessful, the examiner’s supervisor, Jason Cardone can be reached on (571)-272-3933. The fax for this group is (703)-746-7239. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

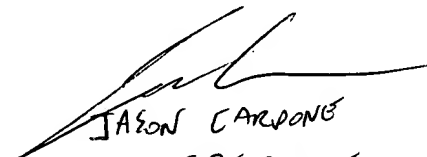
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19. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at (866)-217-9197 (toll-free).



Adnan Mirza

Examiner



JASON CARBONE  
SPE 2145